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				Application Number	09/929,465	
			CLOSURE	Filing Date	August 14, 2001	
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	(Use as m	any sheets as n	necessary)	Art Unit	1712	
<u> </u>	•			Examiner Name	TUCKER, PHILIP C.	
Sheet	1	of	8	Attorney Docket Number	HALB:020	
						
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	LA	Baroid Drilling Fluids, Inc. brochure entitled "PETROFREE (TM) The				
	LB	A. Samuels, "H2S Need Not Be Deadly, Dangerous, Destructive, Soc. Petroleum Engineers, SPE 5202, (1974)				
	rc	R.K. Clar Water-Se	rk, et al., "Polya ensitive Shales	acrylamide/Potassium ," J Petroleum Tech. 7	-Chloride Mud for Drilling 719-727 SPE 5514 (June 1976)	
	LD		J.E. Friedheim, et al, "An Environmentally Superior Replacement for Mineral-Oil Drilling Fluids." 299-312, SPE 23062, (Sept. 3-6, 1991)			

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Candler, et al, "Seafloor Monitoring for Synthetic-Based Mud Discharged in the Western Gulf of Mexico," 51-69, SPE 29694, (1995)

Date Considered

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J. H. Rushing, et al, "Bioaccomulation from Mineral Oil-WEt and Synthetic

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Liquid-Wet Cuttings in an Estuarne Fish," 311-320, SPE 23350, (Nov. 10-14, 1991)

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Mexico: A Field Comparison to Coventional Systems," 405-418, SPE 26354, (1993)

A Novel Nonaqueous Drilling Fluid," 713-726, SPE 25753, (Feb. 23-25, 1993)

S. Park, et al., The Success of Synthetic-Based Drilling Fliuds Offshore Gulf of

M. Slater, "Commonly Used Biodegradation Techniques for Qrilling Fluid

Chemicals, Are They Appropriate, 387-397, SPE/IADC 29376, (1995)

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	(USB as many sne		cessary)	Examiner Name	TUCKER, PHILIP C.
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		NON PATENT LITERATURE DOCUMENTS	
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	LL	J.E. Priedheim, et al, "Second Generation Synthetic Fluids in the North Sea: Are They Better?", 215-228, IADC/SPE 35061, (1996)	
	LM	M. A. Legendre Zevallos, et al., "Synthetic-Based Fluids Enhance Environmental and Drilling Performance in Deepwater Locations," 235-242, SPE 35329 (1996)	
	LN	E.A. Vik, et al, "Factors Affecting Methods for Biodegradation Testing of Drilling Fluids for Marine Discharge," 697-711, SPE 35981 (1996)	
	LO	L. Bailey, et al, "Filtercake Integrity and Reservoid Damage," 111-120, SPE 39429, (1998)	
	LP	P.A. Bern, et al, "Barite Sag: Measurement, Modeling and Management," IADC/SPE 47784 (9 pages)(1998)	
	LQ	N. Hands, et al, "Optimising Inflow Performance of a Long Multi-Lateral Offshore Well in Low Permaeability, Gas Bearing Sandstone:K14-FB 102 Case Study," SPE 50394 (1998) 14pp	
	LR	L. Xiao, et al, "Studies on the Damage Induced by Drilling Fluids in Limestone Cores," SPE 50711 (17 pages) (1999)	
	LS	A. Meinhold "Framework for a Comparative Environmental Assessment of Drilling Fluids Used Offshore," SPE 52746 (10 pages) (1999)	
	LΤ	L.J. Fraser, et al, "Formation-Damaging Characteristics of Mixed Metal Hydroxide Drill In Fluids and a Comparison with Polymer-Base Fluids," SPE 57714 (1999)	
	ιυ	P.A. Bern, et al., "Barite Sag: Measurement, Modeling, and Management, " SPE 62051, SPE Drill. & Completion 15(1) 25-30 (March 2000)	

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INF	ORMATION	DIS	CLOSURE	Filing Date	August 14, 2001	
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	LW	P.I. Reid, et al, "Field Evaluation of a Novel Inhibitive Water-Based Drilling Fluid for Tertiary Shales," SPE 24979 (Nov. 16-18, 1992)	
	LX	W. Hite, et al, "Better Practices and Synthetic Fluid Improve Drilling Rates, Oil & Gas J. Online (Feb. 20, 1995)	
	LY	N. Hands, et al, "Drill-in Fluid Reduces Formation Damage, Increases Production Rates," Oil & Gas J. Online (1998)	
	LZ	J.P. Plank, "Water-Based Muds Using Synthetic Polymers Developed for High Temperature Drilling," Oil & Gas J. Online (1992)	
	MA	Brookfield Instruction Manual for SSV Vane Standard Spindle Set	
	мв	Brookfield Press Release on Vane Spindles (March 12, 2002), ThomasNet Product News Room	
	MC	EPA Development Document for Proposed Effluent Limitations Guidelines for Standards for Synthetic-Based Drilling Fluid and Other Non-Aqueous Drilling Fluids (Feb. 1999)	
	MD	Environmental Impacts of Synthetic Based Drilling Fluids, U.S. Dept of the Interior, Minerals Management Service, August 2000	
	ME	EPA Environmental Assessment of Proposed Effluent Limitations Guidelines for Synthetic-Based Drilling Fluids and Other Non-Aqueous Drilling Fluids (Feb. 1999)	

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STATEMENT BY APPLICANT				First Named Inventor	Jeff Kirsner	
	(Use as many sh	eets as n	ecastery)	Art Unit	1712	
				Examiner Name	TUCKER, PHILIP C.	
Sheet	4	of	8	Attorney Docket Number	HALB:020	

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	·
Initials*	No.V	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	丁
	MF	"Horizontal Wells Offer Economic Advantage," Horizontal News, Fall 1998	
	MG	A. Saasen, et al, "Monitoring of Barite Sag Important in Deviated Drilling," Oil & Gas J. Online (1991)	
	мн	Novadril (TM) System, MI Technology Report (1993)	
	Мі	G. Robinson et al, "Novel Viscometer for Improved Drilling Fluid Characterization, Baker Hughes INTEQ (1996)	
	MJ	N.J. Alderman, et al, "Vane Rheometry of Bentonite Gels," 39 J. Non-Newtonian Fluid Mechanics 291-310 (1991)	
	MK	API Recommended Practice Standard Procedure for Field Testing Oil-Based Drilling Fluids, API Rec. Prac. 13B2, 3rd. ed. (Feb. 1998) American Petroleum Institute	
	ML	Depostion Transcript of David Carbajal, co-inventor of US 6,887,832, in Civil Action 6:05CV155, US Dist. Ct. (E.D. TX), Halliburton Energy Serv. v. M-I, LLC, (Jan. 12, 2006)	
	ММ	Deposition Transcript of Kimberly Burrows, co-inventor of US 6,887,832 in Civil Action 6:05CV155, US Dist. Ct. (E.D. TX), Halliburton Energy Serv. v. M-I, LLC, (Oct. 26, 2005)	
	MN	Deposition Transcript of Don Siems, co-inventor of US 6,887,832 in Civil Action 6:05CV156, US Dist. Ct. (E.D. TX), Halliburton Energy Serv. v. M-I, LLC, (Sec. 12, 2005)	
	мо	Deposition Transcript of Jeff Kirsner, co-inventor of US 6,887,832 in Civil Action 6.05CV155, US Dist. Ct. (E.D. TX), Halliburton Energy Serv. v. M-I, LLC, (Feb. 15, 2006)	

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Substitute for form 1449/PTO		Complete if Known			
				Application Number	09/929,465
INFOR	MATION	DIS	CLOSURE	Filing Date	August 14, 2001
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Jeff Kirsner
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				Examiner Name	TUCKER, PHILIP C.
Sheet 5		of	8	Attorney Docket Number	HALB:020

•		NON PATENT LITERATURE DOCUMENTS	
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	МР	Deposition Transcript of Karen Tripp, patent prosecuting attorney for US 6,887,832, CV 6:95CV155, US Dist. Ct. (E.D. TX), Halliburton Energy Serv. v. M-I, LLC, (Jan 26, 2006)	-
	MQ	First Amended Complaint, Civil Action No. 6:05CV155, U.S. Dist. Court, Eastern Dist. of Texas, Tyler Div., Halliburton Energy Services, Inc. v. M-I, LLC., filed1/27/1006	
	MR	M-I, LLC's 2nd Amended Answer, Affirmative Defenses, and Counterclaims, Civil Action No. 6:05CV155, U.S. Dist. Court, Eastern Dist. of Texas, Tyler Div., filed 2/10/2005	
мѕ		Plaintiff's Preliminary Infringement Contentions, Civil Action No. 6:05CV155, U.S. Dist. Court, Eastern Dist. of Texas, Halliburton Energy Services, Inc. v. M-I LLC, 9/16/2005	
	мт	M-I, LLC's Preliminary Invalidity Contentions, CA No. 6:05CV155, U.S. Dist. Court, Eastern Dist. of Texas, Halliburton Energy Services, Inc. v. M-I, LLC, 10/28/2005	
	MU	K. Burrows, et al., "New Low Viscosity Ester is Suitable for Drilling Fluids in Deepwater Applications," SPE 66553, Feb. 2001 (14 pages)	
	MV	L.F. Nicora, "High-Density Invert-Emulsion System with Very Low Solids Content to Drill ERD and HPHT Wells," SPE 65000, Feb. 2001 (17 pages)	
	MW	D. Eckhout, et al., "Development Process and Field Applications of a New Ester-based Mud System for ERD Wells on Australia's Northwest Shelf," IADC/SPE 62791 (Sept. 2002)	
	мх	M. Mas, et al, "A New High-Temperature Oil-Based Drilling Fluid," SPE 53941, Venezuela April 1999 (14 pages)	
	MY	C. Cameron, et al, "Drilling Fluids Design and Management for Extended Reach Drilling," IADC/SPE 72290, Oct. 2001 (7 pages)	

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	MZ	L. Knox, et al, "New Developments in Ester-based Mud Technology," AADE-02-DFWM-HO-41, April 2002 (9 pages)	
	NA	L.J. Fraser, "Field Application of the All-Oil Drilling Fluid," IADC/SPE 19955, Feb. 27-Mar. 2, 1990)	
	NB	Halliburton's Proposed Terms and Claim Elements for Construction, CV 6.05CV155, US Dist. Ct. (E.D. TX), Halliburton Energy Serv. v. M-I, LLC, 11/21/2005	
ND Plaintiff 6.05CV		Defendant M-I, L.L.C.'s List of Disputed Claim Terms, Civil Action No. 6:05CV155, U.S. Dist. Court, (E.D. TX), Halliburton Energy Services, Inc. v. M-I LLC, 11/21/2005	
		Plaintiff's Preliminary Claim Constructions Pursuant to Local Patent Rule 4-2, CV 6.05CV155, US Dist. Ct. (E.D. TX), Hallburton Energy Serv. v. M-I, LLC, 12/23/2005	
		Defendant M-I, LLC's Preliminary Claim Construction and Identification of Extrinsic Evidence for U.S. Patent No. 6,887,832, CV 6.05CV155, US Dist. Ct. (E.D. TX),12/23/2005	
	NF	Joint Claim Construction and Prehearing Statement Pursuant to P.R. 4-3, CV 6.05CV155, US Dist. Ct (ED TX), Halliburton Energy Serv. v. M-I, LLC 1/20/2006	
	NG	Defendant M-I, LLC's Motion for Summary Judgment of Invalidity with Respect to U.S. Patent No. 6,887,832,	
	ИН	M-I LLC's Motion for Leave to Add Inequitable Conduct Defense to its Pleadings, CV 6.05CV155, US Dist. Ct. (ED TX), Halliburton Energy Serv. v. M-I, LLC, 1/26/2006	
	NI	Halliburton's Opposition to M-I's Motion for Leave to Add Inequitable Conduct Defense to its Pleadings, CV 6.05CV155, US Dist. Ct., Halliburton v. M-I, 2/13/2006	

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	ИЛ	Plaintiff's Initial Disclosures, Civil Action 6.05CV155, US Dist. Ct. (ED TX), Halliburton Energy Services, Inc. v. M-I, LLC, 9/16/2005	
	NK	Defendant M-I, LLC's Initial Disclosures, Civil Action 6.05CV155, US Dist. Ct. (ED TX), Halliburton Energy Services, Inc. v. M-I, LLC, 8/19/2005	
	NL	Plaintiff Halliburton's Objections and Responses to Defendant M-I LLC's First Set of Requests for Production, Civil Action 6.05CV/155, Halliburton v. MI, 8/26/2005	
	NM	Plaintiff Halliburton's Objections and Responses to Defendant M-I LLC's First Set of Interrogatories, Civil Action 6.05CV155, Halliburton v. MI, 8/26/2005	
	NN	Plaintiff Halliburton's Supplemental Responses and Objections to Defendant M-I LLC's First Set of Interrogatories, Civil Action 6.05CV155, Halliburton v. MI, 10/25/2005	
	NO	M-I, LLC's Responses to Plaintiff's First Set of Interrogatories to Defendant (Nos. 1-21), Civil Action 6.05CV 155, US Dist. Ct. (ED TX), Halliburton v. MI, 11/16/2005	
	NP	Halliburton's Opening Brief on Claim Construction, Civil Action 6.05CV155, US Dist. Ct. (ED TX) Halliburton Energy Services, Inc. v. M-I, LC, 3/17/2006	
	DA	Halliburton's Unopposed Motion for Leave to Exceed Page Limit for its Markman Brief, CV 6.05CV155, US Dist. Ct., Halliburton Energy Services, Inc. v. M-I, LLC, 3/17/2006	
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STATEMENT BY APPLICANT	Art Unit	1712	

(Use as many sheets as necessary) -TUCKER, PHILIP C. **Examiner Name** Attomey Docket Number HALB:020 Sheet 8 U. S. PATENT DOCUMENTS Name of Patentee or Examiner Cite Document Number Publication Date Pages, Columns, Lines, Where Initials* MM-DD-YYYY **Applicant of Cited Document** Relevant Passages or Relevant Number-Kind Code^{2 (d known)} Figures Appear US- 6,289,989 NT 09-18-2001 Heinz Mueller US US. US-US-US-US-US-US-US-US-USus. US-US-US-US-US-FOREIGN PATENT DOCUMENTS Cite Examiner Foreign Patent Dogument Name of Patentee or Publication Pages, Columns, Lines, Applicant of Cited Document Date Where Relevant Passages MM-DD-YYYY Or Relevant Figures Appear Country Code³/Number ⁴ "Kind Code⁵ (if known) CA 2 086 697 ΝU 02-20-1992 Heinz Mueller et al WO 95 26386 NV 10-05-1995 Wilkinson et al. WÓ 96 22342 NW 07-25-1996 M. Ellice et al.-BAROID

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